

Appendix A: 2004 and 2005 Animal Welfare Act Violations

	Appendix A			
AWA Code Section Violated	2004 Violations	2004 Animals Effected	2005 Violations	2005 Animals Effected
2.1 Requirements and Application	249	1,396	269	1,460
2.10 Compliance with Standards	1	42	4	6
2.101 Holding Period	4	16	2	9
2.11 Denial of Initial License Application	1		3	8
2.125 Information as to Business	18	41	24	445
2.126 Access & Inspection of Records & Property	989	3,137	1,527	7,251
2.130 Minimum Aage Requirements	30	605	26	197
2.131 Handling of Animals	205	1,712	218	1,944
2.132 Procurement of Random Source Dogs and Cats, Dealers	1		19	264
2.133 Certification for Random Source Dogs and Cats			1	
2.2 Acknowledgement of Regulations and Standards	1			
2.25 Requirements and Procedures	8		21	59
2.27 Notification of Change of Operation	9	47	13	
2.3 Demonstration of Compliance with Standards and Regulations	11	632	4	326
2.30 Registration	12	27	10	
2.31 Institutional Animal Care & Use Committee	890	7,489	1,116	5,779
2.32 Personnel Qualifications	37	102	66	194
2.33 Veterinary Care	411	4,450	442	4,229
2.35 Recordkeeping Requirements	60	534	44	103
2.36 Annual Report	32	57	34	154

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2.38 Miscellaneous	51	32	68	90
2.4 Non-Interference with Aphis Officials	5	468	7	90
2.40 Attending Veterinarian and Adequate Veterinary Care (Dealers and Exhibitors)	2,021	47,743	2,089	331,606
2.5 Duration of License and Termination of License	15	59	17	6
2.50 Time and Method of Identification	525	9,382	591	9,806
2.51 Form of Official Tag	14	359	12	157
2.53 & 2.54 Use of Tags/Lost Tags	10	80	6	69
2.6 Annual License Fees	3	55	1	
2.7 Annual Report by Licensees	2		2	
2.75 Records: Dealers and Exhibitors	709	15,234	903	25,843
2.76 Records: Operators of Auction Sales and Brokers	22	30	11	64
2.77 Records: Carriers and Identification	2	1,200	2	4
2.78 Health Certification and Identification	36	781	26	356
2.79 C.O.D. Shipments			3	23
2.8 Notification of Change of Name, Address, Control, or Ownership of Business	75	588	66	235
3.1 Housing Facilities, General	1,939	52,182	2,202	57,324
3.10 Watering	112	2,328	145	4,132
3.101 Facilities, General	27	75	44	189
3.102 Facilities, Indoor			1	
3.103 Facilities, Outdoor	1		6	71
3.104 Space Requirements			5	9

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3.105 Feeding	8	49	6	13
3.106 Water Quality	20	38	10	14
3.107 Sanitation	21	27	18	11
3.108 Employees or Attendants	2		3	
3.109 Separation	4	6	1	1
3.11 Cleaning, Sanitation, Housekeeping, and Pest Control	1,251	52,555	1,461	63,877
3.110 Veterinary Care	13	27	4	17
3.113 Primary Enclosures Used to Transport Marine Mammals	1	4	2	1
3.114 Primary Conveyances			2	6
3.116 Care in Transit	1	2	5	13
3.118 Handling			1	3
3.12 Employees	15	3,418	16	3,796
3.125 Facilities, General	1,103	12,920	1,097	16,861
3.126 Facilities, Indoor	63	3,146	73	4,184
3.127 Facilities, Outdoor	595	5,338	646	4,412
3.128 Space Requirements	79	427	55	342
3.129 Feeding	206	4,498	166	1,391
3.13 Consignments to Carriers and Intermediate Handlers	4	8	48	600
3.130 Watering	145	2,354	174	2,382
3.131 Sanitation	866	12,908	845	31,343
3.132 Employees	57	1,155	42	2,986
3.133 Separation	25	86	22	84

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3.136 Consignments to Carriers and Intermediate Handlers	1		1	
3.137 Primary Enclosures Used to Transport Live Animals	18	115	40	3,524
3.138 Primary Conveyances (Motor Vehicle, Rail, Air, and Marine)	19	132	23	4,826
3.139 Food and Water Requirements			2	43
3.14 Primary Enclosures used to Transport Live Dogs and Cats	53	240	105	400
3.140 Care in Transit	2	1	3	12
3.141 Terminal Facilities	1		1	
3.15 Primary Conveyances (Motor Vehicle, Rail, Air, and Marine)	4	34	8	70
3.16 Food and Water Requirements	27	88	44	180
3.17 Care in Transit	1	1	5	1
3.19 Handling	5	71	10	31
3.2 Indoor Housing Facilities	227	8,564	295	8,231
3.25 Facilities, General	81	5,428	124	162,707
3.26 Facilities, Indoor	55	14,539	89	81,173
3.27 Facilities, Outdoor	19	277	12	30
3.28 Primary Enclosures	62	8,819	78	22,969
3.29 Feeding	16	1,631	21	63,557
3.3 Sheltered Housing Facilities	303	7,532	355	8,892
3.30 Watering	13	2,333	23	17,507
3.31 Sanitation	133	15,904	172	265,379
3.32 Employees			18	30,684

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3.33 Classification and Separation	12	117	18	110
3.36 Primary Enclosures Used to Transport Live Guinea Pigs and Hamsters	6	977	9	8,546
3.37 Primary Conveyances (Motor Vehicle, Rail, Air, and Marine)	4	400	14	4,790
3.39 Care in Transit	1		3	205
3.4 Outdoor Housing Facilities	1,188	20,352	1,364	21,038
3.41 Handling	1			
3.5 Mobile or Traveling Housing Facilities	3	18		
3.50 Facilities, General	98	1,081	88	994
3.51 Facilities, Indoor	57	2,249	46	3,088
3.52 Facilities, Outdoor	29	129	39	1,834
3.53 Primary Enclosures	140	1,238	149	1,316
3.54 Feeding	36	309	39	7,811
3.55 Watering	23	392	28	141
3.56 Sanitation	272	3,910	254	12,356
3.57 Employees	1	46	6	48
3.58 Classification and Separation	26	115	18	58
3.6 Primary Enclosures	1,151	13,569	1,206	12,540
3.61 Primary Enclosures Used to Transport Live Rabbits	4	85	8	1,705
3.62 Primary Convenances (Motor Vehicle, Rail, Air, and Marine)	4	50	3	3,300
3.63 Food and Water Requirements			1	20
3.64 Care in Transit			1	50
3.7 Compatible Grouping	7	28	13	525

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3.75 Housing Facilities, General	275	2,789	290	6,294
3.76 Indoor Housing Facilities	23	189	29	275
3.77 Sheltered Housing Facilities	18	155	16	150
3.78 Outdoor Housing Facilities	22	69	23	972
3.79 Mobile or Traveling Housing Facilities	6	5	3	6
3.8 Exercise for Dogs	152	4,306	132	2,043
3.8 Primary Enclosures	117	1,044	141	1,622
3.81 Environmental Enhancement to Promote Psychological Well-Being	202	1,647	248	2,087
3.82 Feeding	28	189	29	95
3.83 Watering	2	11	15	869
3.84 Cleaning Sanitation, Housekeeping,, and Pest Control	161	3,748	222	8,062
3.85 Employees	4	60	7	59
3.86 Consignment to Carriers and Intermediate Handlers			3	138
3.87 Primary Enclosures Used to Transport Nonhuman Primates	3	14	8	49
3.9 Feeding	140	4,473	195	6,093
3.9 Care in Transit	2	3,501		
3.92 Handling			1	14
Totals	18,275	382,823	20,845	1,364,358